

## **California Consumer Privacy Act Privacy Notice**

GoldenTree Asset Management LP and its affiliate companies (“GoldenTree,” “we,” “us” or “our”) recognizes the importance of protecting the privacy of our current, former, and prospective investors, as well as our online visitors. It is our intent to balance our legitimate business interests in collecting and using information received from and about you with your reasonable expectations of privacy. The following privacy policy (“Privacy Policy”) explains how we collect and use personal data (as defined below) from and about you through your interactions with us, including your interactions with this website (the “Site”).

### *Personal Data Collected*

GoldenTree may collect nonpublic personal information and other personal information about you when you visit this Site, to facilitate your investments, and for our business and commercial purposes. For purposes of California residents only, pursuant to the California Consumer Privacy Act (“CCPA”), “personal information” includes information that identifies, relates to, describes, is reasonably capable of being associated with, or could reasonably be linked, directly or indirectly, with a California resident or a household. “Personal data” for the purposes of this Privacy Policy means any information that can help us directly or indirectly identify you and includes nonpublic personal information and personal information as defined under the CCPA with regard to California residents.

In the preceding 12 months, GoldenTree may have collected all or some of the following categories of personal data from or about you:

- identifiers and similar information such as, name, address, date of birth, email address, social security number, driver’s license number, passport number, online identifiers or other similar identifiers;
- certain information protected under federal or state laws such as a signature or bank account or other financial information;
- characteristics of protected classifications under federal or certain state laws, including gender, national origin, or marital status;
- commercial information, including records of products or services purchased or other purchasing histories or tendencies, including funds invested, investments considered, or sources of wealth;
- internet or other electronic network activity information, including interactions with our Site or use of certain online tools;
- professional or employment-related information, including occupation, compensation, employer, and title; and
- inferences drawn from any of the information identified above to create a profile reflecting your preferences or similar information, including your potential interest in investing in new funds.

### *Sources of Personal Data*

GoldenTree may collect personal data about you directly from you and/or your intermediaries through sources such as: (i) account applications, subscription agreements, and other forms; (ii) written, electronic, or verbal correspondence with use or our service providers; (iii) investor transactions; (iv) an investor's brokerage or financial advisory firm, financial advisor, or consultant; and/or (v) from information captured on applicable websites.

In addition, GoldenTree may collect personal data from different sources, such as: (i) our affiliates, our service providers, or our affiliates' service providers; (ii) public websites or other publicly accessible directories and sources, including bankruptcy registers, tax authorities, governmental agencies and departments, and regulatory authorities; and/or (iii) from credit reporting agencies, sanctions screening databases, or from sources designed to detect and prevent fraud.

#### *Purposes for Collection and Use of Personal Data*

GoldenTree may collect or use the personal data we collect for any of the following business or commercial purposes:

- performing our services, including fulfilling your requests, maintaining or servicing accounts, providing customer service, processing transactions, verifying information, processing payments, or providing similar services;
- communicating with you;
- performing our contractual obligations;
- detecting security incidents, protecting against malicious, deceptive, fraudulent, or illegal activity, including preventing fraud and conducting "Know Your Client," anti-money laundering, terrorist financing, and conflict checks; or
- enabling or effecting commercial transactions.

#### *Sharing of Personal Data*

GoldenTree may share all or some of your personal data with third parties in circumstances where we believe in good faith that disclosure is required or permitted under law, to cooperate with regulators or law enforcement authorities, to protect our rights or property, or, for our investors, upon reasonable request by the fund in which you have invested. GoldenTree also may disclose personal data about you to a third party at your request or direction or with your consent.

We do not share information about your credit worthiness with our affiliates for their everyday business purposes. We may, however, disclose your information to our affiliates for marketing purposes, such as to offer our or their products and services to you. Further, we may also disclose information you provide to us to non-affiliated companies that perform marketing services on our behalf, such as the Fund's placement agent. You may prevent this type of sharing by calling us at 212-847-3500. If you are a *new* investor, we can begin sharing your information 30 days from the date we sent this Privacy Notice. When you are *no longer* our investor, we may continue to share your information as described in this Privacy Notice. However, you may contact us at any time to limit our sharing. If you limit sharing for an account you hold jointly with someone else, your choices will apply to everyone on your account. State laws may give you additional rights to limit sharing.

The following bullets provide additional detail on how GoldenTree may share personal data:

- With Third Party Service Providers Performing Services on Our Behalf. We share your personal data with our service providers to perform the functions for which we engage them. For example, we may use third parties to host the Site or assist us in providing functionality on the Site, provide data analysis and research Site, to send out email updates about the Site or remove repetitive information from our user lists.
- For Legal Purposes. We also may share personal data that we collect from users as needed to enforce our rights, protect our property or protect the rights, property or safety of others, or as needed to support external auditing, compliance and corporate governance functions. We will disclose personal data as we deem necessary to respond to a subpoena, regulation, binding order of a data protection agency, legal process, governmental request or other legal or regulatory process. We may also share personal data as required to pursue available remedies or limit damages we may sustain.
- Changes of Control. We may transfer information, including your personal data, in connection with a change of ownership or control by or of us or any affiliated entity (in each case whether in whole or in part).

#### *Security Used & Retention of Personal Data*

We seek to carefully safeguard your personal data and, to that end, restrict access to nonpublic personal information about you to those employees and other persons who need to know the information to enable us to provide services to you. We maintain reasonable physical, electronic and procedural safeguards to protect your nonpublic personal information. Given the nature of information security, there is no guarantee that such safeguards will always be successful.

GoldenTree will retain your personal data for as long as necessary to fulfill the purpose for which it was collected, or as required by applicable laws or regulation.

#### *Links to External Websites*

Our Site may contain links to third party websites. Any access to and use of such third party websites is not governed by this Privacy Policy, but instead is governed by the privacy policies of those third party websites, and we are not responsible for the information practices of such third party websites.

#### *Cookies and Similar Data Collection Technology*

We use Data Collection Technology that collects information, such as how long you spend on various webpages on the Site, which webpages you view, your search queries, error and performance reports and the webpages that you visited before and after using the Site, as well as browser type, time zone, language settings, and operating system.

Data Collection Technology deployed through the Site includes cookies and analytic services. Cookies are small text files that are stored in your computer's memory and hard drive, in your mobile device or tablet when you visit certain web pages. They are used to enable websites to function or to provide information to the owners of a website, or other third parties which receive data obtained from that website. A cookie typically contains the name of the domain (internet location) from which the cookie originated, the "lifetime" of the cookie (i.e., when it expires) and a randomly generated unique number or similar identifier. A cookie also may contain information about your computer, such as user settings, browsing history, and activities conducted while using the Site. To learn more about cookies and web beacons, visit [www.allaboutcookies.org](http://www.allaboutcookies.org).

Some web browsers (including some mobile web browsers) provide settings that allow you to control or reject cookies or to alert you when a cookie is placed on your device. You also may be able to reject mobile device identifiers by activating the appropriate setting on your mobile device. Although you are not required to accept cookies or mobile device identifiers, if you block or reject them, you may not have access to all features available through the Site.

GoldenTree also uses analytics services to collect activity data. Generally, analytics services do not identify individual users. Many analytics services allow you to opt out of data collection.

#### *Do Not Track*

GoldenTree does not track its customers over time and across third party websites to provide targeted advertising and therefore does not respond to Do Not Track signals. Do Not Track is a privacy preference that you can set in your web browser to indicate that you do not want certain information about your webpage visits collected across websites when you have not interacted with that service on the page. For details, including how to turn on Do Not Track, visit [www.donottrack.us](http://www.donottrack.us).

#### *Children*

Our services are not directed at individuals under the age of 18. We do not knowingly solicit or collect personal data from children under the age of 18. If we become aware that a child under 18 has provided us personal data, we will delete such data from our files. As a parent or guardian, if you become aware that your child has provided us with personal data, please contact us at the email address or telephone number provided at the end of this Privacy Policy and we will delete your child's personal data within a reasonable time.

#### *Additional Information for California Residents*

California enacted the California Consumer Privacy Act ("CCPA") in 2018 and it is effective as of January 1, 2020. The CCPA imposes certain obligations on GoldenTree and grants certain rights to California residents ("California Resident," "you," or "your") with regard to "personal information." If you are a California Resident, please review the following information about your potential rights under the CCPA. The rights described herein are subject to exemptions and other limitations under applicable law.

Terms used in this CCPA specific section have the meaning ascribed to them in the CCPA. GoldenTree is a “business.” “Personal information” as used in this section as the same meaning as in the CCPA. It does not include deidentified information, aggregate consumer information or publicly available information, as those terms are defined in the CCPA.

In the preceding 12 months, GoldenTree may have disclosed for a business purpose all or some of the categories of personal information listed in the Section “Personal Data Collected.” GoldenTree also may share your personal information with our service providers, other entities that have agreed to limitations on the use of your personal information, or entities that fit within other exemptions or exceptions in or as otherwise permitted by the CCPA.

### California Residents’ Rights Under the CCPA

If your personal information is subject to the CCPA, you may have certain rights with regard to such personal information, including the right to:

- (1) Be informed, at or before the point of collection, of the categories of personal information to be collected and the purposes for which the categories of personal information shall be used.
- (2) Request that we delete any personal information about you that we have collected or maintained, subject to certain exceptions (“request to delete”). We reserve the right to retain, and not to delete, certain personal information after receipt of a request to delete from you where permitted by the CCPA or where another law or regulation is applicable. We may retain your personal information if doing so is necessary for us or our service providers to, for example:
  - Comply with a legal obligation.
  - Enable solely internal uses that are reasonably aligned with your expectations based on your relationship with us.
  - Complete a transaction for which we collect the personal information, provide a good or service requested by you, or reasonably anticipated within the context of our ongoing business relationship with you, or otherwise perform a contract between us and you.
  - Use your personal information, internally, in a lawful manner that is compatible with the context in which you provided the information.
- (3) Request that we, as a business that collects personal information about you and that discloses your personal information for a business purpose, disclose to you (“request to know”):
  - The categories of personal information we have collected about you.
  - The categories of sources from which we collected the personal information.

- The business or commercial purpose for collecting or selling the personal information.
- The categories of third parties with whom we share personal information.
- The specific pieces of personal information we have collected about you.
- The categories of personal information we have disclosed about you for a business purpose.

(4) Not be discriminated against because you exercised any of your rights under the CCPA.

The CCPA does not restrict GoldenTree's ability to do certain things like comply with other laws or comply with regulatory investigations. In addition, the CCPA does not apply to certain information, including, generally, personal information collected, processed, sold or disclosed pursuant to the Gramm-Leach-Bliley Act and its implementing regulations. GoldenTree also reserves the right to retain, and not to delete, certain personal information after receipt of a request to delete from you where permitted by the CCPA or another law or regulation.

#### How to Submit a Request Under the CCPA

You may submit requests to know through the following toll-free telephone number, 866-465-GTAM, or through our Site at the following link: <https://www.goldentree.com/contact>. You may submit requests to delete by email to [info@goldentree.com](mailto:info@goldentree.com) or by post to:

GoldenTree Asset Management LP  
300 Park Avenue  
21st Floor  
New York, NY 10022

We are required to provide certain information or to delete personal information only in response to verifiable requests made by you or your legally authorized agent. Any information gathered as part of the verification process will be used for verification purposes only.

We will deliver responses to verifiable consumer requests, free of charge, either through your online account with us, if you have such an account already, or, if you do not have a pre-existing online account, by mail or electronically, at your election.

GoldenTree will try to respond to your requests to know or to delete within forty-five (45) days of receipt of the request. If we require more time, we will try to inform you of our need for an extension. Even with an extension, we will try to provide a response within ninety (90) days of initial receipt of the request.

Keep in mind that GoldenTree is not required to provide information in response to requests to know more than twice in a 12-month period. Any response to a request to know will only cover the 12-month period preceding the verifiable request.

#### *Notification of Changes*

Any changes to our Privacy Policy will be posted to this page so users are always aware of the information we collect and how we use it. Accordingly, please refer back to this Privacy Policy frequently as it may change.

*Contact for More Information*

If you have any questions or concerns about this privacy notice please email [info@goldentree.com](mailto:info@goldentree.com) or call 212-847-3500.